

Committee on Science, Space, and Technology
U.S. House of Representatives
Witness Disclosure Requirement - "Truth in Testimony"
Required by House Rule XI, Clause 2(g)(5)

1. Your Name: John Thornton		
2. Are you testifying on behalf of the Federal, or a State or local government entity?	Yes	No X
3. Are you testifying on behalf of an entity that is not a government entity?	Yes X	No
4. Other than yourself, please list which entity or entities you are representing: Astrobotic Technology, Inc.		
5. Please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that <u>you or the entity you represent have received</u> on or after October 1, 2012: See addendum.		
6. Please list any foreign government payments that <u>you or the entity you represent have received</u> on or after October 1, 2012: See addendum.		
7. If your answer to the question in item 3 in this form is "yes," please describe your position or representational capacity with the entity(ies) you are representing: Chief Executive Officer of Astrobotic Technology, Inc.		
8. If your answer to the question in item 3 is "yes," do any of the entities disclosed in item 4 have parent organizations, subsidiaries, or partnerships that you are not representing in your testimony?	Yes	No X
9. If the answer to the question in item 3 is "yes," please list any Federal grants, cooperative agreements, or contracts (including subgrants or subcontracts) that were received by the entities listed under the question in item 4 on or after October 1, 2012, that exceed 10 percent of the revenue of the entities in the year received, including the source and amount of each grant or contract to be listed:		

I certify that the above information is true and correct.

Signature: _____

Date: _____

8/31/17

Addendum to Witness Disclosure Requirement - "Truth in Testimony"

Please note that information in this disclosure is highly confidential and Astrobotic proprietary information.

5. *The following are contracts and cooperative agreements Astrobotic has had with the Federal Government, and received payment from since October 1, 2012.*

Contract No.	Contract Name	Total Amount	Contract Type	Award Year
NNX11CB55C	Lightweight Robotic Excavation, Phase 2	\$749,956.00	STTR	2011
NNX11CC65C	Scalable Gravity Offload System, Phase 2	\$599,259.00	STTR	2011
NNX11AR42G	Technologies Enabling Exploration of Skylights, Lava Tubes and Caves, Phase 1	\$99,784.00	NASA SBIR	2011
NNX13CA55P	Resource-Aware Planning for Shadowed and Uncertain Domains, Phase 1	\$124,406.00	NASA SBIR	2012
NNX12CG30P	Multi-Robot Systems for Subsurface Planetary Exploration, Phase 1	\$124,780.00	STTR	2012
NNX12AQ55G	Cavehopping Exploration of Planetary Skylights and Tunnels, Phase 2	\$736,145.00	NIAC	2012
NNX13AB08G	Collaborative Research NRI Small Long Range Prediction of Nongeometric Terrain Hazards for Reliable Planetary Rover Traverse	\$512,656.00	NASA-NRI Grant	2012
NNX14CP22P	Autolandings for Robotic Precursor Missions	\$124,196.00	NASA Flight Opportunities	2013
NNX13AR25G	Nomadic Exploration: Following roots of solar sustenance and temperate climate, Phase I	\$180,000.00	NASA NIAC	2013
NNX14CA05C	Planning for Planetary Science Mission Including Resource Prospecting, Phase 2	\$737,752.00	NASA SBIR	2014
SAAM #18242	NASA CATALYST	No Funds Exchanged	NASA SAA	2014
NNH13ZUA003N	Nomadic Exploration: Following routes of solar sustenance and temperate climate, Phase 2	\$95,000.00	NASA NIAC	2014
NNX15CK15P	Subsurface Prospecting by Planetary Drones, Phase 1	\$124,943.00	NASA SBIR	2015
NNX15CA62P	Long Range Terrain Characterization for Productive Regolith Excavation	\$124,943.00	NASA SBIR	2015
NNX15CA63P	Perception and Navigation for Exploration of Shadowed Domains	\$124,943.00	NASA SBIR	2015
NNX16CK16C	Subsurface Prospecting by Planetary Drones, Phase 2	\$754,266.00	NASA SBIR	2016
NNX17CK06P	Cuberover for Lunar Resource Site Evaluation	\$124,943.00	NASA SBIR	2017

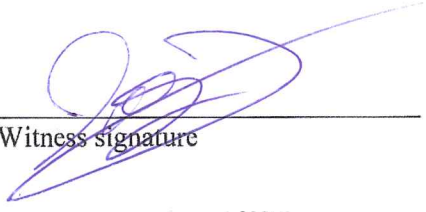
6. *The following are contracts Astrobotic has in which the company has received payment from a foreign government.*

Astrobotic has a contract with the National Autonomous University of Mexico (UNAM) to deliver a payload to the surface of the Moon for \$647,634. This contract was signed in December 2016. The funds provided by UNAM were won through a competitive Request for Proposals (RFP) program run by Mexico's CONACYT program, a division of the Mexican Government. Although the funding comes from CONACYT, the RFP was conducted in coordination with Agencia Espacial Mexicana, the Mexican Space Agency, also a division within the Mexican Government.

Enclosure 4

False Statements Act Certification

You are specifically advised that providing false information to this Committee/Subcommittee, or concealing material information from this Committee/Subcommittee, is a crime, and you can be punished for that. If you acknowledge this, please sign the bottom of this form and return to the Committee. This form will be made part of the hearing record.



Witness signature



Date